



FINAL

2016 Consolidated Annual Performance & Evaluation Report (CAPER)

For the Period May 1, 2016 through April 30, 2017



CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

During program year 2016 (PY2016) Lake County and its partners completed the second year of the 2015-19 Consolidated Plan (ConPlan). Amendment one to the ConPlan was completed during PY2016. This amendment to the 2015-2019 Plan shifted prospective funding away from infrastructure goals that had already been exceeded to housing goals that, but for additional funding, would not be projected to meet the five-year targets as required by HUD. All ten goals were funded during PY2016. Several of the projects funded in PY2016 were not completed prior to the end of the program year, however these projects are projected to be completed within program year 2017 and the County is confident that appropriate progress is being made toward the published 2015-2019 ConPlan goals and objectives.

Affordable Housing, Emergency Solutions Grant (ESG) and Community Development Block Grant (CDBG) Public Services, activities that did not require a summer construction season, were successfully executed throughout the program year. Despite County successes the ongoing need for affordable housing continues to outpace affordable housing creation. Housing accomplishments included the rehabilitation of several acquired and/or owner-occupied homes and the provision of first-time homebuyer assistance throughout Lake County.

With its non-profit partners, in PY2016, Lake County transitioned 29 households from homelessness to permanent housing via rapid rehousing and served 2,522 people via Homeless Person Overnight Shelters. These accomplishments were funded with a combination of CDBG Public Services, ESG and Lake County Affordable Housing Program (AHP) funds.

On the public improvements front, several projects were completed included the replacement of a AC at a senior center that also serves as a community cooling center. Multiple sections of outdated water main were replaced in Round Lake Heights, Zion, Fox Lake and Round Lake Beach.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual

outcomes/outputs, and percentage completed for each of the grantee’s program year goals.

Goal	Category	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Rehab Existing Housing: Affordable & Special Needs	Affordable Housing Homeless Non-Homeless Special Needs	Rental units rehabilitated	Household Housing Unit	40	6	15.00%	23	5	21.74%
Rehab Existing Housing: Affordable & Special Needs	Affordable Housing Homeless Non-Homeless Special Needs	Homeowner Housing Rehabilitated	Household Housing Unit	73	7	9.59%	18	7	38.89%
Rehab Existing Housing: Affordable & Special Needs	Affordable Housing Homeless Non-Homeless Special Needs	Overnight/Emergency Shelter/Transitional Housing Beds added	Beds	12	0	0.00%	0	0	
Develop New Housing: Affordable & Special Needs	Affordable Housing Homeless Non-	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	104	0	0.00%	0	0	

	Homeless Special Needs								
Develop New Housing: Affordable & Special Needs	Affordable Housing Homeless Non-Homeless Special Needs	Rental units constructed	Household Housing Unit	223	0	0.00%			
Develop New Housing: Affordable & Special Needs	Affordable Housing Homeless Non-Homeless Special Needs	Homeowner Housing Added	Household Housing Unit	3	0	0.00%	3	0	0.00%
Develop New Housing: Affordable & Special Needs	Affordable Housing Homeless Non-Homeless Special Needs	Buildings Demolished	Buildings	15	0	0.00%	11	0	0.00%
Assist People Without a Home	Homeless	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	90	29	32.22%	12	29	241.67%
Assist People Without a Home	Homeless	Homeless Person Overnight Shelter	Persons Assisted	4615	4134	89.58%	940	2522	268.30%
Assist People Without a Home	Homeless	Homelessness Prevention	Persons Assisted	148	114	77.03%	20	114	570.00%

Subsidize Housing for Low/Mod Income Families	Affordable Housing Homeless	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2330	2054	88.15%	669	506	75.64%
Subsidize Housing for Low/Mod Income Families	Affordable Housing Homeless	Direct Financial Assistance to Homebuyers	Households Assisted	180	60	33.33%	57	41	71.93%
Provide Adequate Low/Mod Income Area Infrastructure	Non-Housing Community Development	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	55500	16160	29.12%	24220	16160	66.72%
Revitalize Low/Mod Income Area Business Districts	Non-Housing Community Development	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	24356	8185	33.61%	7405	8185	110.53%
Revitalize Low/Mod Income Area Business Districts	Non-Housing Community Development	Facade treatment/business building rehabilitation	Business	11	0	0.00%	11	0	0.00%

Assist People with Disabilities	Affordable Housing Non-Homeless Special Needs	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	22000	346	1.57%	2265	0	0.00%
Assist People with Disabilities	Affordable Housing Non-Homeless Special Needs	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	15000	7351	49.01%	4520	7102	157.12%
Assist People with Disabilities	Affordable Housing Non-Homeless Special Needs	Rental units rehabilitated	Household Housing Unit	11	0	0.00%			
Assist People with Disabilities	Affordable Housing Non-Homeless Special Needs	Homeowner Housing Rehabilitated	Household Housing Unit	35	0	0.00%			

Provide Welcoming Communities	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	6500	1674	25.75%	650	910	140.00%
Job Creation	Non-Housing Community Development	Facade treatment/business building rehabilitation	Business	30	0	0.00%	19	0	0.00%
Job Creation	Non-Housing Community Development	Jobs created/retained	Jobs	10	0	0.00%	0	0	
Job Creation	Non-Housing Community Development	Businesses assisted	Businesses Assisted	17	0	0.00%	4	0	0.00%
Provide Services for Job Stability	Non-Homeless Special Needs Non-Housing Community Development	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2719	1151	42.33%	643	74	11.51%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Lake County has identified the creation of affordable housing in high opportunity areas as a priority and this need was reflected in the allocated to PY2016 funds. Many of the funded housing projects have a construction schedule that span multiple construction seasons and these projects have yet to be completed which results in a slower rate of progress toward our some of the 2015-2019 housing goals.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	4,627	153	0
Black or African American	2,067	59	0
Asian	97	4	0
American Indian or American Native	51	0	0
Native Hawaiian or Other Pacific Islander	14	1	0
Total	6,856	217	0
Hispanic	2,249	149	0
Not Hispanic	4,607	68	0

Table 2 – Table of assistance to racial and ethnic populations by source of funds

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	3,101,649	1,138,239
HOME	HOME	1,489,194	305,412
HOPWA	HOPWA		
ESG	ESG	217,190	171,318
Other	Other		

Table 3 - Resources Made Available

Narrative

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Beach Park	3	6	
Employment & Transit Centers	34	18	
Fox Lake	4	5	
Mundelein	3	13	
North Chicago	17	12	
Park City	0	5	
Round Lakes	7	13	
Waukegan Township	19	15	
Zion	13	13	

Table 4 – Identify the geographic distribution and location of investments

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Federal funds are leveraged with private donations and local funds, included \$300,000 in Lake County Affordable Housing Program funds invested concurrently with our PY2016 federal funds. One housing developer received a parcel of publicly owned land on which to build a house. Minimal state resources were utilized to leverage the federal funds due to the Illinois budget impasse.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	9,169,079
2. Match contributed during current Federal fiscal year	503,464
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	9,672,543
4. Match liability for current Federal fiscal year	277,861
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	9,394,681

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
H1173; 3546	08/31/2012	0	0	18,000	0	0	0	18,000
H1259; 3547	06/09/2016	26,260	0	0	0	0	0	26,260
H1352; 3724	03/15/2016	100,000	0	0	0	0	0	100,000
H1356-3669	01/27/2016	50,000	0	0	0	0	0	50,000
H1356; 3669	03/28/2016	50,000	0	0	0	0	0	50,000
H1360-3609	04/30/2016	37,428	0	0	0	0	0	37,428
H1360; 3609	01/31/2016	35,716	0	0	0	0	0	35,716
H1361-3616	07/31/2016	46,316	0	0	0	0	0	46,316
H1361; 3616	04/30/2016	26,850	0	0	0	0	0	26,850
H1361/3616	09/30/2016	36,488	0	0	0	0	0	36,488
H1457-3604	06/08/2016	10,000	0	0	0	0	0	10,000
H1457; 3603	05/27/2016	1,250	0	0	0	0	0	1,250
H1457; 3604	01/14/2016	50,000	0	0	0	0	0	50,000
H1560; 3639	08/27/2016	15,155	0	0	0	0	0	15,155

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
20,270	45,549	15,651	0	50,168

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	307,341	0	92,900	0	101,745	112,696
Number	10	0	1	0	3	6
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	307,341	101,745	205,596			
Number	10	3	7			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	75	0
Number of Non-Homeless households to be provided affordable housing units	65	53
Number of Special-Needs households to be provided affordable housing units	2	0
Total	142	53

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	75	0
Number of households supported through The Production of New Units	5	0
Number of households supported through Rehab of Existing Units	24	12
Number of households supported through Acquisition of Existing Units	38	41
Total	142	53

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The difference above between goal and actual has three causes:

1. HOME Funds were allocated to a new construction project for five units. The project could not start and finish within one program year. The project will be completed and reported in a future CAPER.
2. The requirement to utilize Illinois Prevailing Wages have caused projects delays, pushing several housing projects past the end of the program year.

3. Activities to support the homeless, through rental assistance, are still underway and completion cannot be reported at this time.

Discuss how these outcomes will impact future annual action plans.

We will stay the course with future action plans, as the need for affordable housing and rental assistance far outpaces the supply.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	3	3
Low-income	5	4
Moderate-income	3	43
Total	11	50

Table 13 – Number of Households Served

Narrative Information

The table above reports the number of households, not the number of persons. Information was gathered from the PR-23, Summary of Accomplishments, for both HOME and CDBG.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The region's one major overnight shelter instituted an outreach program this last year wherein an employee of the program visits known locations of unsheltered individuals, assesses them for housing when appropriate and educates them on the service options available to them. This staff person works closely with Lake County staff during the annual Point in Time (PIT) count preparations so that the County is completing the most thorough search possible. During the PIT count outreach is also completed by the dozens of volunteers who search the county for unsheltered individuals.

The county has also been in dialog with staff from the jail and probation regarding the coordinated entry process, effectively advertising the availability of this resource.

These steps have resulted in conjunction with the county's previously existing efforts has resulted in a strong network of providers who are familiar to the region's homeless and from whom, the region's homeless can feel comfortable receiving services.

Addressing the emergency shelter and transitional housing needs of homeless persons

Three emergency shelters received ESG funds this program year, including the main night-by-night shelter in the region. These organizations remain strong despite difficulties with funding at the state level. All three programs have a strong case management component that assists the individuals to transition from homelessness to permanent housing; however, no programs identified strictly as transitional housing received ESG funds this year as the county focused on rapid-rehousing, homeless prevention and emergency shelters for the use of its 2016 funds.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Funds from both CDBG and ESG were used for homeless prevention programs (\$109,689 in total). Each program was monitored which showed that each was diligently documenting the need for support and providing that support effectively. Within the CoC, a subcommittee has worked diligently this year to outreach to organizations that provide services to the homeless to educate them about the availability

of funds such as homeless prevention funds so that vulnerable individuals have the greatest chance of taking advantage of the funds when necessary.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The county has adhered to a housing first approach for several years and took major strides this year in operationalizing that principle. The main contribution has been through the refinement of the coordinated entry process so that the funds used for housing are used for the most vulnerable first. The calculation for who is prioritized includes a 'length of time homeless' component so this metric is addressed as the funds are administered. Each organization using county and CoC funds for rapid rehousing provides case management to the individuals identified for services. Individuals are provided with options on where they would like to live and usually participate in the housing selection process. Each organization uses tools such as the VI-SPDAT to determine the level of support required for each household to remain housed indefinitely. Performance metrics have shown that these efforts are resulting in real and important changes in the lives of Lake County's homeless and as time goes on, and the system continues to improve, it is anticipated that even better metrics will result.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The Lake County Housing Authority (LCHA) has been working to redevelop the Marion Jones public housing site in North Chicago; this site was previously the largest single concentration of public housing in Lake County. In the past year, LCHA began construction of the new development. When the new development is completed, LCHA will be able to serve over 200 households in new apartments and townshomes.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

LCHA operates the Family Self-Sufficiency (FSS) program. FSS offers case management services to help participants pursue and maintain employment and financial independence. FSS includes an escrow savings account into which LCHA deposits a portion of the increased rental charges that a family pays as its income from earnings rise. Families that successfully complete the FSS program may withdraw the funds from the FSS escrow account. The funds from this escrow account can be used for a downpayment and closing costs so that the household may become homeowners.

Actions taken to provide assistance to troubled PHAs

Not applicable.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

In its 2015-19 Consolidated Plan, Lake County made CDBG funds available to local municipalities for site preparation for new affordable housing. Staff has also started 1-on-1 conversations with municipalities throughout the County about housing choice and local housing stock. This financial incentive provides an opportunity to discuss with municipalities the need and location of new affordable housing. Many potential new affordable housing projects would require special use or Planned Unit Development zoning, so community development can help our agency and developer partners navigate some of those local zoning conversations.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The 2015-19 Consolidated Plan was created with idea of maximizing County resources to meet underserved persons in the County. The County has opened its doors to the area municipalities and non-profits who are charged with making the Consolidated Plan a reality and have had numerous 1:1 meetings so that these entities understand more fully the expectations of the County and the means of achieving the County's objectives, thus breaking down knowledge barriers. Regarding housing, in line with best practices for urban counties, the County has made a concerted effort to provide increased levels of funding to a high capacity non-profit providing rapid rehousing services. The services themselves have been updated so the most vulnerable populations receive assistance first with a declining subsidy model of support ensuring those most in need are given the support they require while maximizing the available resources. While these efforts address renters, investments in credit counseling, homeowner rehab and low-income housing construction have continued with the County's support. New construction of a low-income housing property in a high opportunity area is in the works. The steps being taken now will facilitate the future construction of this uniquely situated property. Finally, the County is involved in a number of community wide initiatives including efforts to make the treatment courts more efficient, to address mental health concerns in the jails, in the area Continuum of Care addressing homelessness and a community action plan for behavioral health operated by the Health Department. There are various other initiatives the County is involved with so obstacles and underserved needs can be at the forefront of the actions taken by the community development department.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Lake County requires all housing rehabilitation projects to undergo lead-based paint testing if the unit was constructed pre-1978, and to share the testing report with the County. The County reviews the testing reports as part of the Environmental Review process and incorporates the level of remediation

work into the Environmental Review Record. The County also reviews the testing reports to ensure scopes of work include the necessary environmental remediation work. County projects strictly follow the federal lead-based paint remediation requirements, and many projects undergo full abatement even when not required to do so under federal regulations. The County's Owner-Occupied Rehabilitation Program has funds set-aside for the City of North Chicago, a municipality with aged housing stock often containing lead-based paint.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The increased emphasis on housing is intended to have the long term effect of alleviating poverty. By providing individuals with a decent, stable and well located, 'base of operations', individuals will be given some of the essential tools they need to succeed. This effort is typified by an increased level of spending in rapid rehousing, and low income housing construction. Resources that historically had been dedicated to infrastructure projects, such as streets and roads are being scaled back, and used more strategically, so funds for housing may be increased.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Lake County Board Chairman Aaron Lawlor and the Honorable Susan Garrett (former IL Senator, 29th District) are leading on a community-based Mental Health Coalition focusing on data-sharing and evidence-based practices to address the gaps and develop a connected sustainable continuum of care for this vulnerable population. Lawlor and Garrett will serve as co-chairs and will identify and recruit stakeholders to actively participate and advance the identified outcomes of the Lake County Mental Health Coalition. As stated on the Coalition website, "Studies reveal there is a greater chance of success when different groups collaboratively organize around outcomes, especially when tackling social issues. While we know there are many programs in Lake County, they are too often doing this good work in silos. We simply don't know everything we need to about these varying levels of services and need to take the time to learn and understand. Real change will require collaboration between groups and people perhaps unused to working together. We must explore and identify the common goals and mutual benefits for all stakeholders (government, hospitals, police, community partners, and more)."

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The region's Continuum of Care (CoC), a committee consisting of many of the region's housing non-profits, increased their outreach efforts significantly this year so that government and non-affiliated non-profits would be more aware of the services available and avail themselves when appropriate. Community Development also began an effort to bring both housing and other service agencies together to participate in a project called the 'referral network'. Once complete, this network will link organizations together on a common electronic platform where a case manager may search for services for their clients and link them to those services easily.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

Lake County partners with Prairie State Legal Services (PSLS) to provide fair housing services. The County and PSLS entered into a two-year agreement to better address discrimination complaints in Lake County. In PY2016, PSLS provided 23 Fair Housing training sessions to 381 people. Additionally, the County's application workshops for PY2016 included Fair Housing training by PSLS. Two County staff members serve as a Fair Housing Liaison between the County and PSLS. Additionally, County staff attends the annual Fair Housing Conference hosted by the John Marshall Law School Fair Housing Legal Support Center & Clinic.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

In addition to the quarterly progress reports completed by each sub-grantee, some form of monitoring is a part of every homelessness activity undertaken by the County. For CDBG public services and ESG projects, each sub-grantee participates in a site visit from the County. A formal review of the program's efforts as they relate to the grant they receive is completed during this visit. Each organization receives a written report addressing any areas of deficiency and follow-up monitoring is completed as needed.

The County began enforcing an outreach effort to minority and women owned business entities ensuring that local MBE/WBE are solicited for bids on projects supported with County/federal funds. During the application process, each potential sub-grantee identifies the role they will play in the County's consolidated plan. As needed, the plan is adjusted to meet the changing needs of the County and public hearings are held often to gather public input and provide for a transparent process.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

Lake County's decision-making process has multiple steps, at each level offering the public opportunities to ask questions and offer comments upon any action being proposed or report being presented. The Housing and Community Development Commission (HCDC) has four Advisory and Recommendation Committees and an Executive Committee. The meetings for these six entities conform to the Illinois Open Meetings Act, as do the meetings of the County Board's Sub-Committees and the Board itself. When items are approved by the HCDC, they proceed to the Housing and Community Services Sub-Committee and then to the Finance and Administration Sub-Committee, prior to review by the County Board. The public may offer comments at any point along this review/approval process. Meeting schedules and minutes for all of the above mentioned meetings are posted on the County's website.

Notices about the CAPER Public Hearing, both in English and Spanish, were published in a local newspaper as well as sent by email to Community Development's mass mailing list. The notices were also posted on the County's website. The notices informed the public that, if unable to attend the meeting, written comments would also be accepted.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction’s program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

Lake County is committed to pursue the CDBG goals and objectives detailed in the Consolidated Plan. Amendment one to the Consolidated plan was completed in PY2016. The amended Consolidated Plan performance metrics reflect the decision to shift resources away from the area of public improvements. Lake County continues to focus on the creation of housing in high opportunity areas, in line with the spirit of CDBG.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

<u>Address</u>	<u>Inspection Type</u>	<u>Grantee ID</u>	<u>IDIS Activity ID</u>	<u>Date Inspected</u>	<u>Issues Detected During Inspection</u>	<u>Reason for no Inspection</u>
931 Morningside Drive, RLB	Long-Term Compliance	H0167	1975	4/28/2017	Gutters & downspouts need to be cleaned; debris on property needs to be removed.	N/A
1603-1635 McAree Road, Waukegan	Long-Term Compliance	9663	560	4/20/2017	Caulking needed, loose outlets & GFI receptacle repair needed.	N/A
50 Library Lane, Grayslake	Long-Term Compliance	0064 & 0164	1272	4/27/2017	Smoke detector not working, loose lights & outlets, caulking needed & door coming off hinge.	N/A
523 Quigley, Mundelein	Long-Term Compliance	0269	1609	N/A	Not inspected.	The County has experience difficulty in scheduling this inspection. The owner has been given a deadline of September 30, 2017.
4488 Hill, Waukegan	Long-Term Compliance	0362	1849	N/A	Not inspected.	The County has experience difficulty in scheduling this inspection. The owner has been given a deadline of September 30, 2017.
14245 W Rockland Road, Libertyville	Long-Term Compliance	0462	2087	N/A	Not inspected.	The County has experience difficulty in scheduling this inspection. The owner has been given a deadline of September 30, 2017.
37244 Piper Lane, Lake Villa	Long-Term Compliance	1171b	3413	9/9/2016	Kitchen window sill rotted.	N/A
1708 Hazelwood Drive, Lindenhurst	Long-Term Compliance	1171b	3412	9/9/2016	No issues detected.	N/A
211 Briar Lane, Lindenhurst	Long-Term Compliance	1171b	3411	9/9/2016	No issues detected.	N/A
36 Golfview Road, Lake Zurich	Long-Term Compliance	1171b	3410	9/15/2016	No issues detected.	N/A
21 Allen Street, Grayslake	Long-Term Compliance	1171a	3409	9/15/2016	No issues detected.	N/A
303 N. Cook Street, Barrington	Long-Term Compliance	0169	1509	9/15/2016	No issues detected.	N/A
24859 Dering Lane, Lake Villa	Long-Term Compliance	0371	1993	9/9/2016	No issues detected.	N/A
532 N. Second Street, Libertyville	Long-Term Compliance	0371	1991	9/15/2016	No issues detected.	N/A
33561 Idlewild Drive, Gages Lake	Long-Term Compliance	0563	2347	9/9/2016	No issues detected.	N/A
1280 Blackburn Street, Gurnee	Long-Term Compliance	0878	2927	9/8/2016	No issues detected.	N/A
37244 Piper Lane, Lake Villa	Long-Term Compliance	1171b	3413	9/29/2016	No issues detected.	N/A

HOME Construction Inspections

Address	Inspection Type	Grantee ID	IDIS Activity ID	Date Inspected	Issues Detected During Inspection	Reason for no Inspection
931 Morningside Drive, RLB	Long-Term Compliance	H0167	1975	4/28/2017	Gutters & downspouts need to be cleaned; debris on property needs to be removed.	N/A
1603-1635 McAree Road, Waukegan	Long-Term Compliance	9663	560	4/20/2017	Caulking needed, loose outlets & GFI receptacle repair needed.	N/A
50 Library Lane, Grayslake	Long-Term Compliance	0064 & 0164	1272	4/27/2017	Smoke detector not working, loose lights & outlets, caulking needed & door coming off hinge.	N/A
523 Quigley, Mundelein	Long-Term Compliance	0269	1609	N/A	Not inspected.	The County has experience difficulty in scheduling this inspection. The owner has been given a deadline of September 30, 2017.
4488 Hill, Waukegan	Long-Term Compliance	0362	1849	N/A	Not inspected.	The County has experience difficulty in scheduling this inspection. The owner has been given a deadline of September 30, 2017.
14245 W Rockland Road, Libertyville	Long-Term Compliance	0462	2087	N/A	Not inspected.	The County has experience difficulty in scheduling this inspection. The owner has been given a deadline of September 30, 2017.
37244 Piper Lane, Lake Villa	Long-Term Compliance	1171b	3413	9/9/2016	Kitchen window sill rotted.	N/A
1708 Hazelwood Drive, Lindenhurst	Long-Term Compliance	1171b	3412	9/9/2016	No issues detected.	N/A
211 Briar Lane, Lindenhurst	Long-Term Compliance	1171b	3411	9/9/2016	No issues detected.	N/A
36 Golfview Road, Lake Zurich	Long-Term Compliance	1171b	3410	9/15/2016	No issues detected.	N/A
21 Allen Street, Grayslake	Long-Term Compliance	1171a	3409	9/15/2016	No issues detected.	N/A
303 N. Cook Street, Barrington	Long-Term Compliance	0169	1509	9/15/2016	No issues detected.	N/A
24859 Dering Lane, Lake Villa	Long-Term Compliance	0371	1993	9/9/2016	No issues detected.	N/A
532 N. Second Street, Libertyville	Long-Term Compliance	0371	1991	9/15/2016	No issues detected.	N/A
33561 Idlewild Drive, Gages Lake	Long-Term Compliance	0563	2347	9/9/2016	No issues detected.	N/A
1280 Blackburn Street, Gurnee	Long-Term Compliance	0878	2927	9/8/2016	No issues detected.	N/A
37244 Piper Lane, Lake Villa	Long-Term Compliance	1171b	3413	9/29/2016	No issues detected.	N/A

HOME Long-Term Inspections

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The County reviews and assesses all affirmative marketing plans for HOME projects during the project application stage and when monitoring the project during the period of affordability. During a project's development phase, the County makes available a list of known MBE & WBE contractors. Additionally, the County promotes use of the local Black Chamber of Commerce for housing developers to identify additional local contractors. The County is continuously working to expand its list of MBE, WBE, and local contractors for all projects.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

During Program Year 2016 the County received \$45,549 in program income, per the IDIS PR09 report. A portion of the program income, \$4,554.90, was utilized for HOME administration costs, IDIS Activity 3829. IDIS Activity 3829 is a down payment assistance activity, benefitting a three-person, homebuyer

household at 60-80% AMI.

An additional \$3,429 was used to fund IDIS Activity 3875, but was not drawn down prior to the end of the Program Year and \$36,396.30 has been carried over to assist funding new projects in Program Year 2017.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The availability of affordable housing is the primary need in Lake County. To address this need, the County prioritizes investment of housing funds in the high opportunity areas - those close to jobs and transportation, and traditionally with a low amount of available affordable housing. The County continues to use local Affordable Housing Program funds to sustain developments when other federal awards are not available, or to create new affordable housing units. The County maintains a robust owner-occupied rehabilitation program as an effort to maintain the local housing stock as affordable.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	LAKE COUNTY
Organizational DUNS Number	074591652
EIN/TIN Number	366006600
Identify the Field Office	CHICAGO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Waukegan/North Chicago/Lake County CoC

ESG Contact Name

Prefix	Ms
First Name	Jodi
Middle Name	0
Last Name	Gingiss

Suffix 0
Title Community Development Administrator

ESG Contact Address

Street Address 1 500 W Winchester Road
Street Address 2 0
City Libertyville
State IL
ZIP Code -
Phone Number 8473772139
Extension 0
Fax Number 0
Email Address jgingiss@lakecountyil.gov

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date 05/01/2016
Program Year End Date 04/30/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: LAKE COUNTY
City: Waukegan
State: IL
Zip Code: 60085, 4304
DUNS Number: 074591652
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 36494

Subrecipient or Contractor Name: A Safe Place
City: Zion
State: IL
Zip Code: ,
DUNS Number: 122324247
Is subrecipient a victim services provider: Y
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 23000

Subrecipient or Contractor Name: Catholic Charities of the Archdiocese of Chicago
City: Chicago
State: IL
Zip Code: ,
DUNS Number: 069958528
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 105000

Subrecipient or Contractor Name: LAKE COUNTY HAVEN
City: Libertyville
State: IL
Zip Code: 60048, 0127
DUNS Number: 044524176
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 22000

Subrecipient or Contractor Name: PADS LAKE COUNTY, INC.
City: North Chicago
State: IL
Zip Code: 60064, 3048
DUNS Number: 019728976
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20000

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	93
Children	98
Don't Know/Refused/Other	0
Missing Information	0
Total	191

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	93
Children	98
Don't Know/Refused/Other	0
Missing Information	0
Total	191

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	56
Female	135
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
Total	191

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	96
18-24	24
25 and over	71
Don't Know/Refused/Other	0
Missing Information	0
Total	191

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	191	0	0	191
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	4	0	0	4
Total (Unduplicated if possible)	4	0	0	4

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	12,775
Total Number of bed-nights provided	6,351
Capacity Utilization	49.71%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

While A Safe Place has only 50% capacity, they have limited their services intentionally in order to account for staff shortages. The CoC is aware of the situation.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	22,233	27,300	23,703
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	16,105	19,915	7,901
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	38,338	47,215	31,604

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	2,800	19,510	31,637
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	6,490	0
Expenditures for Housing Relocation & Stabilization Services - Services	1,200	10,000	13,559
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	4,000	36,000	45,196

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	0	0	11,527
Operations	19,037	23,000	25,000
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	19,037	23,000	36,527

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	0	0	0
Administration	0	0	0

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	19,037	23,000	36,527

Table 29 - Total ESG Funds Expended

11f. Match Source

	2014	2015	2016
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0
Private Funds	19,037	23,000	36,527
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	19,037	23,000	36,527

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
	38,074	46,000	73,054

Table 31 - Total Amount of Funds Expended on ESG Activities